

ABC Securities Limited

月結單 Monthly Statement

Chan Tai Keung

Flat A, 19/F
abc Tower,
Mongkok

Print Date 列印日期: 21 Aug 2019
Account No 客戶編號: 110002
Account Type 客戶類型: Custodian 保管戶口
AE Code 經紀編號: M02
AE Name 經紀名稱: Samuel Louie
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a
Debit Interest Rate (HKD) 借款利率: 5.0000% p.a

現金帳戶記錄 Cash Statement for Nov 2013

T/D 交易日期	S/D 交收日期	Ref No 參考編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
			承上結餘 Balance B/F		CNY			0.00
28/11	03/12	102339	Bought In 10,000 nominal value of BCNY0001 HUAWEI 5.3 05/18/15	100.00	CNY	11,013.53		-11,013.53
			結餘轉下 Balance C/F		CNY	11,013.53		-11,013.53
			承上結餘 Balance B/F		HKD			0.00
29/11	29/11	46189	Sliding Commision Refund		HKD		99.50	99.50
29/11	03/12	102333	Sold Out -1,000 shares of 00001 CHEUNG KONG 長江實業	100.00	HKD		99,637.00	99,736.50
29/11	03/12	102335	Bought In 1,000 shares of 00001 CHEUNG KONG 長江實業	99.00	HKD	99,359.42		377.08
			結餘轉下 Balance C/F		HKD	99,359.42	99,736.50	377.08
			承上結餘 Balance B/F		USD			0.00
28/11	03/12	102308	Sold Out -200,000 nominal value of FCCONVA F&C Global Convertible Bond	101.00%	USD		203,144.14	203,144.14
28/11	03/12	102312	Bought In 100,000 nominal value of FCCONVA F&C Global Convertible Bond	100.00%	USD	100,995.07		102,149.07
28/11	03/12	102325	Bought In 200,000 nominal value of FCCONVA F&C Global Convertible Bond	101.00%	USD	203,910.14		-101,761.07
28/11	03/12	102307	Sold Out -1,000 shares of IBM INTERNATIONAL BUSINESS MA	178.97	USD		178,393.01	76,631.94
			結餘轉下 Balance C/F		USD	304,905.21	381,537.15	76,631.94

現金結餘 Cash Balance for Nov 2013

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
HKD	99.50	277.58	377.08	1.00	377.08
USD	0.00	76,631.94	76,631.94	7.75705	594,437.79

現金值 Cash Value: 594,814.87

投資工具帳戶記錄 Instrument Journal for Nov 2013

T/D 交易日期	S/D 交收日期	Ref No 參考編號	Market 市場	Instrument 投資工具 代號	Deposit 存入	Withdraw 支出	Description 摘要	Instrument Name 投資工具名稱
28/11	28/11	10022	BND	FCCONVA	10,000		Deposit 10000 F&C Global Convertible Bond(FCCONVA)	F&C Global Convertible Bond
28/11	28/11	10023	BND	FCCONVA	300,000		Deposit 300000 F&C Global Convertible Bond(FCCONVA)	F&C Global Convertible Bond

投資組合記錄 Portfolio Statement for Nov 2013

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In/Out 出/入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港元)
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BND Bonds and Notes Market

BONDS Bonds

FCCONVA F&C Global Convertible Bond	0	USD	210,000	210,000	100.00%	210,000.00	7.75705	1,628,980.50
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股份組合市值 Portfolio Value For USD 210,000.00 1,628,980.50

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USA United States of America

EQT Equity

IBM INTERNATIONAL 0 USD -92,000 -92,000 205.18 -18,876,560.00 7.75705 -146,426,419.75
BUSINESS MA

股份組合市值 Portfolio Value For USD -18,876,560.00 -146,426,419.75

股份組合市值 Portfolio Value: -144,797,439.25

總值 Net Value: -144,797,439.25

Exchange Rate used are for indication only 匯率只作指引用途 * Margin % 融資成數

Trades are completed by this company as a broker unless otherwise stated. We confirm that the stamp duty imprinted herein has been/will be paid through The Stock Exchange of Hong Kong Ltd. Please see important notes below. The above stated transaction levy is collected on behalf of and will be paid to The Exchange as prescribed. It is hereby agreed between all parties concerned that present or future difference arising from above trade(s). Shall be submitted to arbitration pursuant to Arbitration Ordinance, Cap. 341. It is deemed to be correct if no discrepancies are reported within 7 days.

Price or rate herein is for information only. It is not a representation that you may trade the relevant products at such price or rate in the relevant market, nor does it necessarily reflect the true value thereof. We accept no liability for any error or omission in respect of the said price or rate.

This is computer generated statement, signature not required.

除特別聲明外，以上交易均以經紀名義完成。厘印費乃代聯合交易所收取，將回繳聯合交易所，請參閱以下之重要提示。上列交易徵費乃代聯合交易所收取，將依例回繳聯合交易所，本合約有關人等均同意因上列交易產生之差異，將根據香港仲裁條例處理（香港法例第三四一章）。如對本單據有任何疑問，請於七日內通知本公司。

有關價格或利率僅作參考之用，並不代表相關產品可以用此價格或利率在有關市場進行交易，亦不一定代表相關產品的真實價值。本公司對任何關於上述價格或利率的錯誤或缺漏不承擔任何責任。

本結單由電腦印制，不須簽署。

IMPORTANT NOTES

重要提示

- (1) The stock market is a rapidly changing market and there is an inherent risk in incurring loss in share dealing.
股市波動不一，所有證券買賣皆包含一定程度之風險。
- (2) In the event of a default committed by a broker of the Unified Exchange, his clients are entitled to claim against the Compensation Fund established under the Securities Ordinance for pecuniary loss suffered to the extent provided for in the Securities Ordinance.
假如交易所某經紀因為經營倒閉而未能完成其作為經紀之責任，并引致其顧客遭受金錢上之損失時，該等顧客均可提供合法證據向證券條例授權成立之賠償基金索償。其可能得到之賠償，則視證券條例中所規定者而異。
- (3) Every transaction concluded through and recognized by the Exchange is subject to a transaction levy and special levy imposed by the Exchange. The broker is authorized to collect the appropriate transaction levy and special levy in accordance with the rule prescribed by the Exchange from time to time. A copy of the latest rule on this aspect is available from the Exchange on payment of the prescribed charges.
投資者必須清楚所有經由交易所成交被承認之證券買賣均須按交易所之規定繳納交易徵費及特別徵費，交易所已授權其所有會員代為收取是項費用，有關之徵費及特別徵費規則可向交易所購閱。
- (4) All transactions made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules, regulations, by-laws, customs and usages of the Exchange, the CCASS Rules and of the Laws of Hong Kong as amended from time to time, and shall be binding on both the Member or Dealing Partnership and the client.
所有替或代表客戶進行的交易須受到不時修訂之憲法、規則、規定、附則、本交易所的慣例和用法、中央結算系統規則及香港法例有關條文的限制，且對會員或證券合夥商號及客戶均有約束力。
- (5) Where safe custody arrangements are in place, shares will be deposited into/withdrawn from your Account with us upon settlement.
在安全的託管措施安排下，客戶買入/賣出之股票會於結算日自動存入客戶之託管戶口/由客戶之託管戶口提出。
- (6) The contents of this Statement of Account shall be conclusive evidence of the transaction(s) set out unless objected to in accordance with the terms of the Client Agreement made between the Company and the person on whose authority the transaction(s) was(were) effected.
除非依據本公司與客戶訂立之客戶協議條文提出反對，否則此單之內容成為所列出之交易的確定性根據。
- (7) This statement also serves as a receipt required by S13 Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.
本結單同時充當《證券及期貨(成交單據，戶口結單及收據)規則》第十三條所要求之收據。

E.&O.E.

結單完結
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