

**綜合日結單**  
**Daily Combined Statement**

Chan Tai Keung

Flat A, 19/F  
abc Tower,  
Mongkok

Print Date 列印日期: 21 Aug 2019  
Account No 客戶編號: 110002  
Account Type 客戶類型: Custodian 保管戶口  
AE Code 經紀編號: M02  
AE Name 經紀名稱: Samuel Louie  
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a  
Debit Interest Rate (HKD) 借款利率: 5.0000% p.a

**現金帳戶記錄 Cash Statement for 05 Dec 2013**

T/D 交易 日期	S/D 交收 日期	Ref No 參考 編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
			承上結餘 Balance B/F		USD			9,883,067.37
03/12	03/12	46290	Charge for Bonds Convert(FCCONVA:F&C Global Convertible Bond)		USD	1,000.00		9,882,067.37
03/12	03/12	46291	Charge for Bonds Convert(FCCONVA:F&C Global Convertible Bond)		USD	1,000.00		9,881,067.37
			結餘轉下 Balance C/F		USD	2,000.00		<u>9,881,067.37</u>

**現金結餘 Cash Balance for 05 Dec 2013**

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
CNY	-11,013.53	9,947.38	-1,066.15	1.2352	-1,316.91
HKD	-1,382,303.74	0.00	-1,382,303.74	1.00	-1,382,303.74
USD	9,886,132.38	-5,065.01	9,881,067.37	7.75705	76,647,933.64

現金值 Cash Value: 75,264,312.99

**基金投資組合記錄 Fund Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及名稱						Holdings B/F 前期結餘單位數	Holdings C/F 本期結餘單位數	
Date of Purchase 申購日期	CCY 貨幣	Amount 原始申購金額	Executed Price 申購價格	Market Price/NAV Price As At 參考價格日期	Ref Market Price/NAV 參考市場價格 /單位淨值	Ref Market Value 參考市場價值	Ref Market Value (HKD) 參考市場價值(港幣)	Return % 報酬率
0DNNT	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG	德盛龍虎精選基金-香港	LU0220605033 (轉存)	100	100			
	USD	0.00	0.00	0.00000	0.00	0.00	0.00	0.00
0DNNT	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG	德盛龍虎精選基金-香港	LU0220605033	1,258.653	1,258.653			1,258.653
02 Dec 2013	USD	200,000.00	158.90	02 Dec 2013	0.00000	0.00	0.00	-100.00
0DNNT	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG	德盛龍虎精選基金-香港	LU0220605033	24.02496	24.02496			24.02496
02 Dec 2013	USD	100,000.00	160.25	02 Dec 2013	0.00000	0.00	0.00	-100.00
0DNNT	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG	德盛龍虎精選基金-香港	LU0220605033	105.61	105.61			105.61
02 Dec 2013	USD	100,000.00	165.12	02 Dec 2013	0.00000	0.00	0.00	-100.00
0DNNT	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG	德盛龍虎精選基金-香港	LU0220605033 (交易明細仍有待基金公司回覆確認)	0	0			0
03 Dec 2013	USD	100,000.00	0.00		0.00000	0.00	0.00	0.00

股份組合市值 Portfolio Value: 0.00

**在途交易基金詳情 Unsettled Fund Details for 05 Dec 2013**

Market 市場	Order No 交易 編號	O/D V/D 交易 交收	Fund 基金	S/R 認購 贖回	Unit 單位	CCY 貨幣	Gross Amount 總額	Transaction Cost 交易費用	Net Amount 淨額
FUN	10014	03/12	0DNNT	S		USD	100,000.00	Brokerage	250.00
		08/12	DRESDNER RCM NEW TIGER SELECTIONS FUND-HONG KONG					Fund House Fee	2,500.00
			德盛龍虎精選基金-香港						
								SUBTOTAL	2,750.00

**綜合日結單**  
**Daily Combined Statement**

Net Total due on 08/12

USD

**-102,750.00**

**投資組合記錄 Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In /Out 出 / 入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港元)
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**BND Bonds and Notes Market**

**BONDS Bonds**

FCCONVA	F&C Global Convertible Bond	180,000	USD	0	180,000	100.00%	180,000.00	7.75705	1,396,269.00
<b>股份組合市值 Portfolio Value For USD</b>						<b>180,000.00</b>		<b>1,396,269.00</b>	

**HKG Hong Kong**

**EQT Equity**

00001	CHEUNG KONG 長江實業	11,000	HKD	0	11,000	125.50	1,380,500.00	1.00	1,380,500.00
00388	HKEX 香港交易所	3,000	HKD	0	3,000	147.90	443,700.00	1.00	443,700.00
02800	TRACKER FUND 盈富基金	10,000	HKD	0	10,000	23.90	239,000.00	1.00	239,000.00
02823	X ISHARES A50 X安碩A 5 0 中國	2,000	HKD	0	2,000	12.04	24,080.00	1.00	24,080.00
<b>股份組合市值 Portfolio Value For HKD</b>						<b>2,087,280.00</b>		<b>2,087,280.00</b>	

**USA United States of America**

**EQT Equity**

AA	ALCOA INC	1,000	USD	0	1,000	9.00	9,000.00	7.75705	69,813.45
FCG	F&C Global	2,000	USD	0	2,000	33.00	66,000.00	7.75705	511,965.30
IBM	INTERNATIONAL BUSINESS MA	-92,000	USD	0	-92,000	205.18	-18,876,560.00	7.75705	-146,426,419.75
<b>股份組合市值 Portfolio Value For USD</b>						<b>-18,801,560.00</b>		<b>-145,844,641.00</b>	

**股份組合市值 Portfolio Value: -142,361,092.00**

**總值 Net Value: -142,361,092.00**

# Exchange Rate used are for indication only 匯率只作指引用 \* Margin % 融資成數

Trades are completed by this company as a broker unless otherwise stated. We confirm that the stamp duty imprinted herein has been/will be paid to The Exchange as prescribed by the Exchange. Please see important notes below. The above stated transaction levy is collected on behalf of and will be paid to The Exchange as prescribed by the Exchange. The parties concerned that present or future difference arising from above trade(s). Shall be submitted to arbitration pursuant to Arbitration Ordinance. We shall be correct if no discrepancies are reported within 7 days.

Price or rate herein is for information only. It is not a representation that you may trade the relevant products at such price or rate in the market. We reflect the true value thereof. We accept no liability for any error or omission in respect of the said price or rate.

This is computer generated statement, signature not required.

除特別聲明外，以上交易均以經紀名義完成。厘印費乃代聯合交易所收取，將回繳聯合交易所，請參閱以下之重要提示。上列交易徵費乃代聯合交易所收取，將依例回繳聯合交易所，本合約有關人等均同意因上列交易產生之差異，將根據香港仲裁條例處理（香港法例第三四一章）。如對本單據有任何疑問，請於七日內通知本公司。

有關價格或利率僅作參考之用，並不代表相關產品可以用此價格或利率在有關市場進行交易，亦不一定代表相關產品的真實價值。本公司對任何關於上述價格或利率的錯誤或缺漏不承擔任何責任。

本結單由電腦印制，不須簽署。

## 綜合日結單 Daily Combined Statement

### IMPORTANT NOTES

#### 重要提示

- (1) The stock market is a rapidly changing market and there is an inherent risk in incurring loss in share dealing.  
股市波動不一，所有證券買賣皆包含一定程度之風險。
- (2) In the event of a default committed by a broker of the Unified Exchange, his clients are entitled to claim against the Compensation Fund established under the Securities Ordinance for pecuniary loss suffered to the extent provided for in the Securities Ordinance.  
假如交易所某經紀因為經營倒閉而未能完成其作為經紀之責任，并引致其顧客遭受金錢上之損失時，該等顧客均可提供合法證據向證券條例授權成立之賠償基金索償。其可能得到之賠償，則視證券條例中所規定者而異。
- (3) Every transaction concluded through and recognized by the Exchange is subject to a transaction levy and special levy imposed by the Exchange. The broker is authorized to collect the appropriate transaction levy and special levy in accordance with the rule prescribed by the Exchange from time to time. A copy of the latest rule on this aspect is available from the Exchange on payment of the prescribed charges.  
投資者必須清楚所有經由交易所成交被承認之證券買賣均須按交易所之規定繳納交易徵費及特別徵費，交易所已授權其所有會員代為收取是項費用，有關之徵費及特別徵費規則可向交易所購閱。
- (4) All transactions made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules, regulations, by-laws, customs and usages of the Exchange, the CCASS Rules and of the Laws of Hong Kong as amended from time to time, and shall be binding on both the Member or Dealing Partnership and the client.  
所有替或代表客戶進行的交易須受到不時修訂之憲法、規則、規定、附則、本交易所的慣例和用法、中央結算系統規則及香港法例有關條文的限制，且對會員或證券合夥商號及客戶均有約束力。
- (5) Where safe custody arrangements are in place, shares will be deposited into/withdrawn from your Account with us upon settlement.  
在安全的託管措施安排下，客戶買入/賣出之股票會於結算日自動存入客戶之託管戶口/ 由客戶之託管戶口提出。
- (6) The contents of this Statement of Account shall be conclusive evidence of the transaction(s) set out unless objected to in accordance with the terms of the Client Agreement made between the Company and the person on whose authority the transaction(s) was(were) effected.  
除非依據本公司與客戶訂立之客戶協議條文提出反對，否則此單之內容成為所列出之交易的確定性根據。
- (7) This statement also serves as a receipt required by S13 Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.  
本結單同時充當<<證券及期貨(成交單據，戶口結單及收據)規則>>第十三條所要求之收據。

E.& O.E.

結單完結

- End of Statement -

**綜合日結單 / 買賣合約**  
**Daily Combined Statement / Contract Note**

Chan Tai Keung

Flat A, 19/F  
abc Tower,  
Mongkok

Print Date 列印日期: 21 Aug 2019  
Account No 客戶編號: 110002  
Account Type 客戶類型: Margin 孖展戶口  
AE Code 經紀編號: M02  
AE Name 經紀名稱: Samuel Louie  
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a  
Debit Interest Rate (HKD) 借款利率: 5.0000% p.a

Margin Limit 保證金限額: HKD 0  
Margin Authority Expiry Date  
信貸限額到期日:

現金帳戶記錄 Cash Statement for **05 Dec 2013**

T/D 交易日期	S/D 交收日期	Ref No 參考編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
承上結餘 Balance B/F					CNY			0.00
05/12	10/12	102359	Bought In 100,000 nominal value of BCNY0001 HUAWEI 5.3 05/18/15	100.00%	CNY	103,544.38		-103,544.38
05/12	10/12	102369	Bought In 100,000 nominal value of BCNY0001 HUAWEI 5.3 05/18/15	100.00%	CNY	103,544.38		-207,088.76
結餘轉下 Balance C/F					CNY	207,088.76		-207,088.76
承上結餘 Balance B/F					HKD			-200,726.00
05/12	09/12	102360	Bought In 3,000 shares of 00001 CHEUNG KONG 長江實業	91.333333	HKD	274,994.62		-475,720.62
05/12	09/12	102366	Bought In 1,200 shares of 00700 TENCENT 騰訊控股	140.1667	HKD	168,811.37		-644,531.99
結餘轉下 Balance C/F					HKD	443,805.99		-644,531.99

交易詳情 Trade Details for **05 Dec 2013**

Market 市場	Trade No 交易編號	T/D S/D 交易 交收	Instrument 投資工具	B/S 買/沽	Quantity 數量	Price 成交價	CCY 貨幣	Gross Amount 總額	Transaction Cost 交易費用	Net Amount 淨額
BND	102359	05/12 10/12	BCNY0001 HUAWEI 5.3 05/18/15	BUY	100,000	100.00%	CNY	100,000.00	Brokerage 佣金 300.00 Accrued Interest 利息 2,744.38 Clearing Fee 結算費 500.00 SUBTOTAL 3,544.38	-103,544.38
BND	102369	05/12 10/12	BCNY0001 HUAWEI 5.3 05/18/15	BUY	100,000	100.00%	CNY	100,000.00	Brokerage 佣金 300.00 Accrued Interest 利息 2,744.38 Clearing Fee 結算費 500.00 SUBTOTAL 3,544.38	-103,544.38
<b>Net Total due on 10/12</b>							CNY			-207,088.76
HKG	102360	05/12 09/12	00001 CHEUNG KONG 長江實業	BUY	1,000 2,000	90.00 92.00	HKD	274,000.00	Brokerage 佣金 685.00 Stamp Duty 印花稅 274.00 Levy 交易徵費 8.22 Clearing Fee 結算費 13.70 Trading Fee 交易費 13.70 SUBTOTAL 994.62	-274,994.62
HKG	102366	05/12 09/12	00700 TENCENT 騰訊控股	BUY	1,000 200	140.00 141.00	HKD	168,200.00	Brokerage 佣金 420.50 Stamp Duty 印花稅 169.00 Levy 交易徵費 5.05 Clearing Fee 結算費 8.41 Trading Fee 交易費 8.41 SUBTOTAL 611.37	-168,811.37
<b>Net Total due on 09/12</b>							HKD			-443,805.99

**綜合日結單 / 買賣合約**  
**Daily Combined Statement / Contract Note**

**現金結餘 Cash Balance for 05 Dec 2013**

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
CNY	0.00	-207,088.76	-207,088.76	1.2352	-255,796.04
HKD	-200,726.00	-443,805.99	-644,531.99	1.00	-644,531.99

現金值 Cash Value: **-900,328.03**

**投資工具帳戶記錄 Instrument Journal for 05 Dec 2013**

T/D 交易日期	S/D 交收日期	Ref No 參考編號	Market 市場	Instrument 投資工具代號	Deposit 存入	Withdraw 支出	Description 摘要	Instrument Name 投資工具名稱
05/12	05/12	36471	HKG	00005	800		Deposit 800 HSBC HOLDINGS (GB0005405286)(00005)	HSBC HOLDINGS 匯豐控股

**投資組合記錄 Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In/Out 出/入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港元)	%	Margin Value (HKD) 按倉價值(港元)
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**BND Bonds and Notes Market**

**BONDS Bonds**

BCNY0001	HUAWEI 5.3 05/18/15	200,000	CNY	0	200,000	99.88%	199,760.00	1.2352	246,743.55	0	0.00
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股份組合市值 Portfolio Value For CNY **199,760.00**      **246,743.55**      **0.00**

**HKG Hong Kong**

**EQT Equity**

00001	CHEUNG KONG 長江實業	2,000	HKD	3,000	5,000	125.50	627,500.00	1.00	627,500.00	60	376,500.00
00005	HSBC HOLDINGS 匯豐控股	0	HKD	800	800	89.00	71,200.00	1.00	71,200.00	70	49,840.00
00700	TENCENT 騰訊控股	0	HKD	1,200	1,200	268.80	322,560.00	1.00	322,560.00	50	161,280.00

股份組合市值 Portfolio Value For HKD **1,021,260.00**      **1,021,260.00**      **587,620.00**

股份組合市值 Portfolio Value: **1,268,003.55**      **587,620.00**

總值 Net Value: **1,268,003.55**

Percentage of Margin Used: 153.22      Margin Call:      HKD      312,708.03

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**綜合日結單 / 買賣合約**  
**Daily Combined Statement / Contract Note**

IMPORTANT NOTES  
重要提示

- (1) Please be notified that we have repledged securities collateral from margin account maintained with us during the statement period.  
本公司謹此通知閣下，本公司在此結單有關期間曾將存放於本公司孖展帳戶之股票抵押品再轉按。
- (2) You have provided the Company with a standing authority as defined in section 2 of the Securities and Futures (Client Securities) Rules, which has not been revoked, authorizing the Company to repledge securities collateral provided by you or on your behalf.  
根據閣下提供以證券及期貨(客戶證券)規則第二條所闡釋之有效和存續的常設授權，本公司已被授權可將閣下之股票抵押品再轉按。
- (3) All transactions carried out by the Company shall be subject to any levies which the Exchange may impose from time to time.  
經由本公司執行的所有交易均須按交易所不時之規定繳納任何徵費。
- (4) All transactions made for or on behalf of you shall be subject to the relevant provisions of the constitution, rules, regulations, by-laws, customs and usages of the Exchange, the Central Clearing and Settlement System Rules and of the Laws of Hong Kong as amended from time to time and shall be binding on both the Company and you.  
所有由本公司代表閣下進行之交易均受不時修訂之憲法、規則、規例、細則、交易所之習慣及用法、中央結算及交收系統規則及香港之法律之有關規定所管制，而且本公司與閣下同樣受制於上述規定。
- (5) In the event of default committed by the Company resulting in your suffering pecuniary loss, the liability of the Compensation Fund will be restricted to valid claims as provided for in the Securities and Futures Ordinance.  
若本公司因為違責而令閣下遭受金錢上之損失時，賠償基金之責任只限於《證券及期貨條例》中所規定之有效索償。
- (6) Please refer to letter(s) sent separately for details of transaction(s) initiated by the Company, if any.  
任何由本公司主動進行之交易，詳情將另函通知。
- (7) The price of securities can and does fluctuate, and that any individual security may experience downward movements, and may under some circumstances even become valueless. Therefore, there is an inherent risk that losses may be incurred rather than profit made, as a result of buying and selling securities.  
證券價格可能及會波動，而任何個別證券的價格皆可能下跌，在某些情況下更可能變成毫無價值。故在證券買賣中固有之風險，即除可能獲利外，亦可能有損失。
- (8) This is a computer generated statement and does not require signature.  
此結單由電腦編印，毋須簽署。
- (9) Interest accrues daily on day end balance and is posted on month end.  
利息每天按結餘額計算，累計數於每月最後一天計入帳戶。
- (10) For details of margin financing, please see Facility Letter issued to you separately; for changes of terms, please refer to Daily or Monthly Statement or letters sent to you on the detailed changes.  
孖展借貸的資料，請參考貸款協議書；任何變更將以日或月結單或信件向閣下作出通知。
- (11) Please notify us within 7 days should there be any discrepancies; otherwise, we will assume it is correct.  
若閣下並未在結單發出的七天內提出疑問，本公司將把結單內資料視為正確。
- (12) Please call our Customer Services Hotline at xxxxxxx for account enquiry and assistance.  
如閣下有戶口查詢及需任何協助，請致電客戶服務熱線 xxxxxxx

E. & O. E.

結單完結  
- End of Statement -

**綜合日結單**  
**Daily Combined Statement**

Chan Tai ManXXX  
XXX XXX XXX  
XXXX, XXXXXX XXXXXXXX,  
XXXX XXX XXXXX, XX XXXXX XX.,  
XXXX XXX,  
XXX

Print Date 列印日期: 21 Aug 2019  
Account No 客戶編號: 180031  
Account Type 客戶類型: Cash 現金戶口  
AE Code 經紀編號: HSE01001  
AE Name 經紀名稱: HSE01001  
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a  
Debit Interest Rate (HKD) 借款利率: 13.0000% p.a

**現金帳戶記錄 Cash Statement for 05 Dec 2013**

T/D 交易 日期	S/D 交收 日期	Ref No 參考 編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
			承上結餘 Balance B/F		HKD			-298,363.38
05/12	05/12	46292	CASH DIVIDEND on 3,000 shares of (00001) CHEUNG KONG at 1.0000 per share at 02/12/2013		HKD		3,000.00	-295,363.38
05/12	05/12	46295	Collection Fee - shs CHEUNG KONG (00001)		HKD	30.00		-295,393.38
			結餘轉下 Balance C/F		HKD	30.00	3,000.00	<u>-295,393.38</u>
05/12			Interest Accrued		HKD			0.02

**現金結餘 Cash Balance for 05 Dec 2013**

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
HKD	264,124.97	-559,518.35	-295,393.38	1.00	-295,393.38
USD	2,000.00	-100,076.57	-98,076.57	7.75705	-760,784.86

現金值 Cash Value: -1,056,178.24

**基金投資組合記錄 Fund Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及名稱						Holdings B/F 前期結餘單位數	Holdings C/F 本期結餘單位數	
Date of Purchase 申購日期	CCY 貨幣	Amount 原始申購金額	Executed Price 申購價格	Market Price/NAV Price As At 參考價格日期	Ref Market Price/NAV 參考市場價格 /單位淨值	Ref Market Value 參考市場價值	Ref Market Value (HKD) 參考市場價值(港幣)	Return % 報酬率
F0001	ING Fund China A					909.090909	909.090909	
04 Feb 2013	USD	10,000.00	11.00	04 Feb 2013	0.00000	0.00	0.00	-100.00

股份組合市值 Portfolio Value: 0.00

**投資組合記錄 Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In /Out 出 / 入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港幣)
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**HKG Hong Kong**

**EQT Equity**

00001	CHEUNG KONG 長江實業	3,000	HKD	0	3,000	125.50	376,500.00	1.00	376,500.00
00152	SHENZHEN INTL 深圳國際	240,000	HKD	0	240,000	1.06	254,400.00	1.00	254,400.00
00587	HUA HAN 華瀚生物製藥	800	HKD	0	800	2.06	1,648.00	1.00	1,648.00
01070	TCL MULTIMEDIA T C L 多媒體	40,000	HKD	0	40,000	5.74	229,600.00	1.00	229,600.00

**綜合日結單**  
**Daily Combined Statement**

01207	SRE GROUP 上置集團	1,468,000	HKD	0	1,468,000	0.385	565,180.00	1.00	565,180.00
02002	SUNSHINE PAPER 陽光紙業	125,500	HKD	0	125,500	1.04	130,520.00	1.00	130,520.00
08086	EPRO 易寶	284,000	HKD	0	284,000	0.475	134,900.00	1.00	134,900.00
08270	CHINA LEASON 中國聯盛	50,000	HKD	0	50,000	0.30	15,000.00	1.00	15,000.00

股份組合市值 Portfolio Value For HKD **1,707,748.00**

**EQT Equity**

59508	1YRS QUANTO 95%	100,000	USD	0	100,000	100.00%	100,000.00	7.75705	775,705.00
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股份組合市值 Portfolio Value For USD **100,000.00**

股份組合市值 Portfolio Value: **2,483,453.00**

總值 Net Value: **2,483,453.00**

# Exchange Rate used are for indication only 匯率只作指引用 \* Margin % 融資成數

Trades are completed by this company as a broker unless otherwise stated. We confirm that the stamp duty imprinted herein has been/will be paid to the Exchange by the company. Please see important notes below. The above stated transaction levy is collected on behalf of and will be paid to The Exchange as prescribed by the Ordinance. The parties concerned that present or future difference arising from above trade(s). Shall be submitted to arbitration pursuant to Arbitration Ordinance. This statement is correct if no discrepancies are reported within 7 days.

Price or rate herein is for information only. It is not a representation that you may trade the relevant products at such price or rate in the market. The prices or rates may not reflect the true value thereof. We accept no liability for any error or omission in respect of the said price or rate.

This is computer generated statement, signature not required.

除特別聲明外，以上交易均以經紀名義完成。厘印費乃代聯合交易所收取，將回繳聯合交易所，請參閱以下之重要提示。上列交易徵費乃代聯合交易所收取，將依例回繳聯合交易所，本合約有關人均同意因上列交易產生之差異，將根據香港仲裁條例處理（香港法例第三四一章）。如對本單據有任何疑問，請於七日內通知本公司。

有關價格或利率僅作參考之用，並不代表相關產品可以用此價格或利率在有關市場進行交易，亦不一定代表相關產品的真實價值。本公司對任何關於上述價格或利率的錯誤或缺漏不承擔任何責任。

本結單由電腦印制，不須簽署。

**IMPORTANT NOTES**  
**重要提示**

- The stock market is a rapidly changing market and there is an inherent risk in incurring loss in share dealing.  
股市波動不一，所有證券買賣皆包含一定程度之風險。
- In the event of a default committed by a broker of the Unified Exchange, his clients are entitled to claim against the Compensation Fund established under the Securities Ordinance for pecuniary loss suffered to the extent provided for in the Securities Ordinance.  
假如交易所某經紀因為經營倒閉而未能完成其作為經紀之責任，并引致其顧客遭受金錢上之損失時，該等顧客均可提供合法證據向證券條例授權成立之賠償基金索償。其可能得到之賠償，則視證券條例中所規定者而異。
- Every transaction concluded through and recognized by the Exchange is subject to a transaction levy and special levy imposed by the Exchange. The broker is authorized to collect the appropriate transaction levy and special levy in accordance with the rule prescribed by the Exchange from time to time. A copy of the latest rule on this aspect is available from the Exchange on payment of the prescribed charges.  
投資者必須清楚所有經由交易所成交被承認之證券買賣均須按交易所之規定繳納交易徵費及特別徵費，交易所已授權其所有會員代為收取是項費用，有關之徵費及特別徵費規則可向交易所購閱。
- All transactions made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules, regulations, by-laws, customs and usages of the Exchange, the CASS Rules and of the Laws of Hong Kong as amended from time to time, and shall be binding on both the Member or Dealing Partnership and the client.  
所有替或代表客戶進行的交易須受到不時修訂之憲法、規則、規定、附則、本交易所的慣例和用法、中央結算系統規則及香港法例有關條文的限制，且對會員或證券合夥商號及客戶均有約束力。
- Where safe custody arrangements are in place, shares will be deposited into/withdrawn from your Account with us upon settlement.  
在安全的託管措施安排下，客戶買入/賣出之股票會於結算日自動存入客戶之託管戶口/由客戶之託管戶口提出。
- The contents of this Statement of Account shall be conclusive evidence of the transaction(s) set out unless objected to in accordance with the terms of the Client Agreement made between the Company and the person on whose authority the transaction(s) was(were) effected.  
除非依據本公司與客戶訂立之客戶協議條文提出反對，否則此單之內容成為所列出之交易的確定性根據。
- This statement also serves as a receipt required by S13 Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.  
本結單同時充當《證券及期貨(成交單據，戶口結單及收據)規則》第十三條所要求之收據。



綜合日結單  
**Daily Combined Statement**

E.& O.E.

結單完結  
- End of Statement -

**綜合日結單**  
**Daily Combined Statement**

Chan Tai ManXXX  
XXX XXX XXX  
XXXX, XXXXXX XXXXXXXX,  
XXXX XXX XXXXX, XX XXXXX XX.,  
XXXX XXX,  
XXX

Print Date 列印日期: 21 Aug 2019  
Account No 客戶編號: 180031  
Account Type 客戶類型: Margin 孖展戶口  
AE Code 經紀編號: HSE01001  
AE Name 經紀名稱: HSE01001  
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a  
Debit Interest Rate (HKD) 借款利率: 13.0000% p.a

Margin Limit 保證金限額: HKD 0  
Margin Authority Expiry Date  
信貸限額到期日:

現金帳戶記錄 Cash Statement for **05 Dec 2013**

T/D 交易 日期	S/D 交收 日期	Ref No 參考 編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
承上結餘 Balance B/F					HKD			-14,293.82
05/12	05/12	46293	CASH DIVIDEND on 11,000 shares of (00001) CHEUNG KONG at 1.0000 per share at 02/12/2013		HKD		11,000.00	-3,293.82
05/12	05/12	46296	Scrip Fee - 10,000 shs CHEUNG KONG (00001)		HKD	20.00		-3,313.82
05/12	05/12	46297	Collection Fee - shs CHEUNG KONG (00001)		HKD	55.00		-3,368.82
05/12	05/12	46302	Deposit Money/CR		HKD		120,000.00	116,631.18
結餘轉下 Balance C/F					HKD	75.00	131,000.00	116,631.18

現金結餘 Cash Balance for **05 Dec 2013**

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
CNY	-1,008,727.40	0.00	-1,008,727.40	1.2352	-1,245,980.08
HKD	116,631.18	0.00	116,631.18	1.00	116,631.18

現金值 Cash Value: -1,129,348.90

投資組合記錄 Portfolio Statement for **05 Dec 2013**

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In / Out 出 / 入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港元)	*%	Margin Value (HKD) 按倉價值 (港元)
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**BND Bonds and Notes Market**

**BONDS Bonds**

BCNY0001	HUAWEI 5.3 05/18/15	1,000,000 CNY	0	1,000,000	99.88%	998,800.00	1.2352	1,233,717.76	0	0.00
股份組合市值 Portfolio Value For CNY						<u>998,800.00</u>		<u>1,233,717.76</u>		<u>0.00</u>

**HKG Hong Kong**

**EQT Equity**

00001	CHEUNG KONG 長江實業	11,000 HKD	0	11,000	125.50	1,380,500.00	1.00	1,380,500.00	60	745,470.00
00005	HSBC HOLDINGS 匯豐控股	400 HKD	0	400	89.00	35,600.00	1.00	35,600.00	70	22,428.00
股份組合市值 Portfolio Value For HKD						<u>1,416,100.00</u>		<u>1,416,100.00</u>		<u>767,898.00</u>

股份組合市值 Portfolio Value: 2,649,817.76 767,898.00

**綜合日結單**  
**Daily Combined Statement**

總值 Net Value: 2,649,817.76

Percentage of Margin Used: 147.07      Margin Call:      HKD      361,450.90

# Exchange Rate used are for indication only 匯率只作指引用 \* Margin % 融資成數

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本結單由電腦印制，不須簽署。

**IMPORTANT NOTES**

**重要提示**

- (1) Please be notified that we have repledged securities collateral from margin account maintained with us during the statement period.  
本公司謹此通知閣下，本公司在此結單有關期間曾將存放於本公司孖展帳戶之股票抵押品再轉按。
- (2) You have provided the Company with a standing authority as defined in section 2 of the Securities and Futures (Client Securities) Rules, which has not been revoked, authorizing the Company to repledge securities collateral provided by you or on your behalf.  
根據閣下提供以證券及期貨(客戶證券)規則第二條所闡釋之有效和存續的常設授權，本公司已被授權可將閣下之股票抵押品再轉按。
- (3) All transactions carried out by the Company shall be subject to any levies which the Exchange may impose from time to time. 經由本公司執行之所有交易均須按交易所不時之規定繳納任何徵費。
- (4) All transactions made for or on behalf of you shall be subject to the relevant provisions of the constitution, rules, regulations, by-laws, customs and usages of the Exchange, the Central Clearing and Settlement System Rules and of the Laws of Hong Kong as amended from time to time and shall be binding on both the Company and you.  
所有由本公司代表閣下進行之交易均受不時修訂之憲法、規則、規例、細則、交易所之習慣及用法、中央結算及交收系統規則及香港之法律之有關規定所管制，而且本公司與閣下同樣受制於上述規定。
- (5) In the event of default committed by the Company resulting in your suffering pecuniary loss, the liability of the Compensation Fund will be restricted to valid claims as provided for in the Securities and Futures Ordinance.  
若本公司因為違責而令閣下遭受金錢上之損失時，賠償基金之責任只限於《證券及期貨條例》中所規定之有效索償。
- (6) Please refer to letter(s) sent separately for details of transaction(s) initiated by the Company, if any.  
任何由本公司主動進行之交易，詳情將另函通知。
- (7) The price of securities can and does fluctuate, and that any individual security may experience downward movements, and may under some circumstances even become valueless. Therefore, there is an inherent risk that losses may be incurred rather than profit made, as a result of buying and selling securities.  
證券價格可能及會波動，而任何個別證券的價格皆可能下跌，在某些情況下更可能變成毫無價值。故在證券買賣中固有之風險，即除可能獲利外，亦可能有損失。
- (8) This is a computer generated statement and does not require signature.  
此結單由電腦編印，毋須簽署。
- (9) Interest accrues daily on day end balance and is posted on month end.  
利息每天按結餘額計算，累計數於每月最後一天計入帳戶。
- (10) For details of margin financing, please see Facility Letter issued to you separately; for changes of terms, please refer to Daily or Monthly Statement or letters sent to you on the detailed changes.  
孖展借貸的資料，請參考貸款協議書；任何變更將以日或月結單或信件向閣下作出通知。
- (11) Please notify us within 7 days should there be any discrepancies; otherwise, we will assume it is correct.  
若閣下並未在結單發出的七天內提出疑問，本公司將把結單內資料視為正確。
- (12) Please call our Customer Services Hotline at xxxxxxx for account enquiry and assistance.  
如閣下有戶口查詢及需任何協助，請致電客戶服務熱線 xxxxxxx

E.& O.E.

結單完結

- End of Statement -

**綜合日結單**  
**Daily Combined Statement**

XXXX XXX  
ABC  
中國XXXXXXXX,XXXXXXXX, XXXXX, XXXXXXXXXXXXXXX

Print Date 列印日期: 21 Aug 2019  
Account No 客戶編號: 200003  
Account Type 客戶類型: Margin 孖展戶口  
AE Code 經紀編號: HSE02002  
AE Name 經紀名稱: HSE02002  
Credit Interest Rate (HKD) 存款利率: 0.0010% p.a  
Debit Interest Rate (HKD) 借款利率: 8.0000% p.a

Margin Limit 保證金限額: HKD 15,000  
Margin Authority Expiry Date 16 Mar 2013  
信貸限額到期日:

**現金帳戶記錄 Cash Statement for 05 Dec 2013**

T/D 交易 日期	S/D 交收 日期	Ref No 參考 編號	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
			承上結餘 Balance B/F		HKD			70,003.78
05/12	05/12	46294	CASH DIVIDEND on 10,000 shares of (00001) CHEUNG KONG at 1.0000 per share at 02/12/2013		HKD		10,000.00	80,003.78
05/12	05/12	46298	Collection Fee - shs CHEUNG KONG (00001)		HKD	50.00		79,953.78
			結餘轉下 Balance C/F		HKD	50.00	10,000.00	<u>79,953.78</u>

**現金結餘 Cash Balance for 05 Dec 2013**

CCY 貨幣	Available Balance 可用結餘	Net of Unsettle Bal 淨未交收結餘	Ledger Balance 帳面結餘	#Exch Rate # 匯率	Balance (HKD) 結餘(港元)
HKD	79,953.78	0.00	79,953.78	1.00	79,953.78

現金值 Cash Value: 79,953.78

**投資組合記錄 Portfolio Statement for 05 Dec 2013**

Instrument Code and Name 投資工具代號及股票名稱	Holding B/F 承上	CCY 貨幣	Movement In / Out 出 / 入	Holding C/F 轉下	Closing Price 收市價	Value 市場價值	#Exch Rate 匯率	Value (HKD) 市場價值(港元)	*%	Margin Value (HKD) 按倉價值 (港元)
<b>HKG Hong Kong</b>										
<b>EQT Equity</b>										
00001 CHEUNG KONG 長江實業	10,000	HKD	0	10,000	125.50	1,255,000.00	1.00	1,255,000.00	60	753,000.00
股份組合市值 Portfolio Value For HKD						<u>1,255,000.00</u>		<u>1,255,000.00</u>		<u>753,000.00</u>
股份組合市值 Portfolio Value:								<u>1,255,000.00</u>		<u>753,000.00</u>

總值 Net Value: 1,255,000.00

Percentage of Margin Used: 0.00      Margin Available: HKD 832,953.78

# Exchange Rate used are for indication only 匯率只作指引用 \* Margin % 融資成數

